

A duality variational approach to time-dependent nonlinear diffusion equations

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Presentation outline

1. Overview

- *Problem presentation*
- *Problem history*
- *Connection with physical processes*

2. Main results

- *Functional framework*
- *Continuous case with polynomial growth*
- *General continuous case*
- *Discontinuous case*

3. Comments and applications

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Overview

*Formulation of nonlinear time-dependent diffusion problems
as convex minimization problems*

Problem presentation - diffusion equation

$$\begin{aligned} \frac{\partial y}{\partial t} - \Delta \beta^*(t, x, y) &\ni f && \text{in } Q = (0, T) \times \Omega, \\ -\frac{\partial \beta^*(t, x, y)}{\partial \nu} &= \alpha \beta^*(t, x, y) && \text{on } \Sigma = (0, T) \times \Gamma, \quad \alpha > 0, \\ y(0, x) &= y_0 && \text{in } \Omega \end{aligned} \tag{ODP}$$

Problem presentation - diffusion equation

$$\begin{aligned}
 \frac{\partial y}{\partial t} - \Delta \beta^*(t, x, y) &\ni f && \text{in } Q = (0, T) \times \Omega, \\
 -\frac{\partial \beta^*(t, x, y)}{\partial \nu} &= \alpha \beta^*(t, x, y) && \text{on } \Sigma = (0, T) \times \Gamma, \quad \alpha > 0, \\
 y(0, x) &= y_0 && \text{in } \Omega
 \end{aligned} \tag{ODP}$$

$\beta^*(t, x, \cdot)$ is a maximal monotone graph on \mathbf{R} , a.e. $(t, x) \in Q$,

$$\partial j(t, x, \cdot) = \beta^*(t, x, \cdot) \text{ a.e. } (t, x) \in Q.$$

Problem presentation

$j(t, x, \cdot) : \mathbf{R} \rightarrow (-\infty, \infty]$ proper convex l.s.c. a.e. $(t, x) \in Q$,

$(t, x) \rightarrow j(t, x, r)$ is measurable on Q for any $r \in \mathbf{R}$.

Problem presentation

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$(t, x) \rightarrow j(t, x, r)$ is measurable on Q for any $r \in \mathbf{R}$.

Conjugate of j

$$j^*(t, x, \omega) = \sup_{r \in \mathbf{R}} (\omega r - j(t, x, r)) \text{ a.e. on } Q$$

Problem presentation

$j(t, x, r) + j^*(t, x, \omega) \geq r\omega$ for any $r, \omega \in \mathbf{R}$, a.e. $(t, x) \in Q$,

$j(t, x, r) + j^*(t, x, \omega) = r\omega$ if and only if $\omega \in \partial j(t, x, r)$, a.e. $(t, x) \in Q$.

W. Fenchel, 1953

Problem history

* **Brezis-Ekeland principle (C.R. Acad. Sci. Paris, 1976)**

$$\frac{du}{dt} + \partial\varphi(u) \ni f \text{ a.e. } t, \quad u(0) = u_0$$

\Updownarrow

Minimize $J(v)$ for all $v \in K$

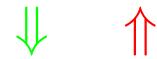
$$J(v) = \int_0^T \left\{ \varphi(v) + \varphi^* \left(f - \frac{dv}{dt} \right) - (f, v) \right\} dt + \frac{1}{2} \|v(T)\|,$$

Problem history

- * **Brezis-Ekeland principle (C.R. Acad. Sci. Paris, 1976)**
- ▶ G. Auchmuty (Nonlinear Anal. 1988)
- ▶ N. Ghoussoub, L. Tzou (Math. Ann. 2004)
- ▶ A. Visintin (Adv. Math. Sci. Appl. 2008)

Problem presentation

(ODP) can be reduced to a minimization problem



$$\text{Minimize } \int_Q (j(t, x, y(t, x)) + j^*(t, x, w(t, x)) - w(t, x)y(t, x)) dxdt, \text{ for } (y, w) \in U, \quad (P)$$

y and w connected by a certain equation !

Problem presentation

This work: (P) optimal control problem in terms of j and j^*

1. Case 1. $j(t, x, \cdot)$ continuous on \mathbf{R} , a.e. on Q , and has a polynomial growth

2. Case 2. $j(t, x, \cdot)$ continuous on \mathbf{R} , a.e. on Q ,

$$\frac{j(t, x, r)}{|r|} \xrightarrow{|r| \rightarrow \infty} \infty, \quad \frac{j^*(t, x, \omega)}{|\omega|} \xrightarrow{|\omega| \rightarrow \infty} \infty,$$

3. Case 3. $D(j) = (-\infty, y_s]$ and j is not continuous at y_s .

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Main results

2.1

Continuous case with polynomial growth

Hypotheses

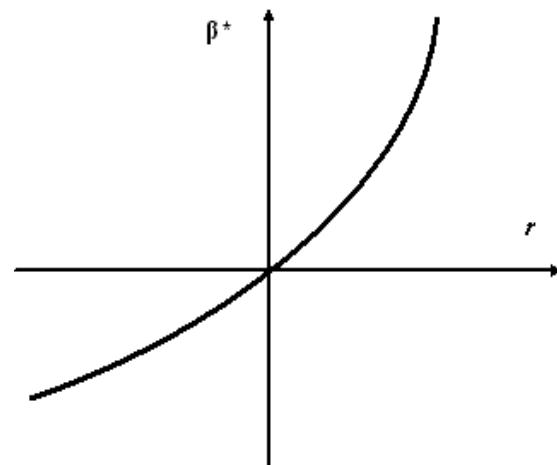
$j : \mathbf{R} \rightarrow (-\infty, \infty]$ continuous

$$C_1 |r|^m + C_1^0 \leq j(t, x, r) \leq C_2 |r|^m + C_2^0, \text{ a.e. } (t, x) \in Q, \quad m \geq 2$$

Connection with physical processes

Diffusion with time-dependent diffusion coefficients $\beta(t, x, r)$ in heterogeneous media

$$\beta^*(t, x, r) = \int_0^r \beta(t, x, s) ds, \quad j(t, x, r) = \int_0^r \beta^*(t, x, s) ds, \quad r \in \mathbf{R}$$

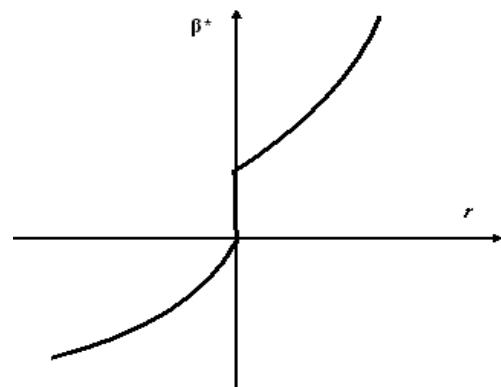


continuous diffusion coefficient $\beta(t, x, \cdot)$

Connection with physical processes

Diffusion with time-dependent diffusion coefficients $\beta(t, x, r)$ in heterogeneous media

$$\beta^*(t, x, r) = \int_0^r \beta(t, x, s) ds, \quad j(t, x, r) = \int_0^r \overset{\circ}{\beta}^*(t, x, s) ds, \quad r \in \mathbf{R}$$



discontinuous diffusion coefficient $\beta(t, x, \cdot)$

Functional framework

Let $m > 1 \quad \frac{1}{m'} + \frac{1}{m} = 1$

$$X_m := \left\{ \psi \in W^{2,m}(\Omega), \frac{\partial \psi}{\partial \nu} + \alpha \psi = 0 \text{ on } \Gamma \right\}$$

$$A_{0,m} : D(A_{0,m}) = X_m \subset L^m(\Omega) \rightarrow L^m(\Omega),$$

$$A_{0,m}\psi = -\Delta\psi,$$

Functional framework

Let $m > 1 \quad \frac{1}{m'} + \frac{1}{m} = 1$

$X_m := \left\{ \psi \in W^{2,m}(\Omega), \frac{\partial \psi}{\partial \nu} + \alpha \psi = 0 \text{ on } \Gamma \right\}$ **X'_m is the dual of X_m**

$A_{0,m} : D(A_{0,m}) = X_m \subset L^m(\Omega) \rightarrow L^m(\Omega)$ $A_m : D(A_m) = L^m(\Omega) \subset X'_m \rightarrow X'_m$

$A_{0,m}\psi = -\Delta\psi$ $\langle A_m y, \psi \rangle_{X'_m, X_{m'}} = (y, A_{0,m'}\psi)_{L^m(Q), L^{m'}(Q)}$

$V = H^1(\Omega), \quad V' = (H^1(\Omega))'$

Definition. Let

$$f \in L^{m'}(0, T; X'_{m'}), \quad y_0 \in V'.$$

A *weak solution* to (ODP)

$$(y, \eta), \quad \eta(t, x) \in \partial j(t, x, y(t, x)) \text{ a.e. on } Q,$$

$$y \in L^m(Q) \cap W^{1,m'}([0, T]; X'_{m'}), \quad \eta \in L^{m'}(Q),$$

which satisfies

$$\int_0^T \left\langle \frac{dy}{dt}(t), \psi(t) \right\rangle_{X'_{m'}, X_m} dt - \int_Q \eta \Delta \psi dx dt = \int_0^T \langle f(t), \psi(t) \rangle_{X'_{m'}, X_m} dt$$

for any $\psi \in L^m(0, T; X_m)$, and the initial condition $y(0, x) = y_0$.

Variational formulation

$$J_0 : L^m(Q) \times L^{m'}(Q) \rightarrow (-\infty, \infty]$$

$$J_0(y, w) = \begin{cases} \int_Q (j(t, x, y) + j^*(t, x, w) - wy) dxdt, & \text{if } (y, w) \in U_0, \\ +\infty, & \text{otherwise} \end{cases}$$

$$U_0 = \left\{ (y, w); y \in L^m(Q), w \in L^{m'}(Q), \int_Q j(t, x, y) dxdt < \infty, \int_Q j(t, x, y) dxdt < \infty, (y, w) \text{ satisfies } (*) \right\}$$

$$\begin{aligned} \frac{dy}{dt}(t) + A_{m'} w(t) &= f(t) \text{ a.e. } t \in (0, T), \\ y(0) &= y_0. \end{aligned} \tag{*}$$

Variational formulation

Minimization problem

Minimize $J_0(y, w)$ for all $(y, w) \in U_0$. (P_0)

\Updownarrow

(ODP)

Some results

J_0 is proper, convex, l.s.c. on $L^m(Q) \times L^{m'}(Q)$

$$\begin{aligned} J_0(y, w) = & \int_Q (j(t, x, y) + j^*(t, x, w)) dx dt \\ & - \int_Q wy dx dt \end{aligned}$$

Some results

J_0 is proper, convex, l.s.c. on $L^m(Q) \times L^{m'}(Q)$

$$\begin{aligned} J_0(y, w) = & \int_Q (j(t, x, y) + j^*(t, x, w)) dx dt \\ & + \frac{1}{2} \left\{ \|y(T)\|_{V'}^2 - \|y_0\|_{V'}^2 \right\} - \int_0^t \langle f(\tau), A_{0,m}^{-1} y(\tau) \rangle_{X'_{m'}, X_m} d\tau. \end{aligned}$$

Theorem

Problem (P_0) has at least a solution (y^, w^*) .*

Proof sketch: (y_n, w_n) a minimizing sequence

$$d \leq J_0(y_n, w_n) \leq d + \frac{1}{n},$$

$\implies (y_n)_n$ and $(w_n)_n$ are bounded in $L^m(Q)$ and $L^{m'}(Q)$

-

$y_n \rightharpoonup y^*$ in $L^m(Q)$

$w_n \rightharpoonup w^*$ in $L^{m'}(Q)$

$\frac{dy_n}{dt} \rightharpoonup \frac{dy^*}{dt}$ in $L^{m'}(0, T; X'_{m'})$ $\implies (y^*, w^*)$ satisfies $(*)$
 $J_0(y^*, w^*) = d$

\Downarrow
 $y_n(T) \rightarrow y^*(T)$ in V' Ascoli-Arzelá

Theorem

Let (y^*, w^*) be a solution to (P_0) . Then,

$$\inf_{(y,w) \in U_0} (P_0) = J_0(y^*, w^*) = 0$$

and (y^*, w^*) is the unique weak solution to (ODP) .

Theorem

Let (y^*, w^*) be a solution to (P_0) . Then,

$$w^*(t, x) \in \beta^*(t, x, y^*) \text{ a.e. } (t, x) \in Q$$

and (y^*, w^*) is the unique weak solution to (ODP) .

$$\begin{aligned} \frac{dy^*}{dt}(t) + A_{m'} w^*(t) &= f(t) \text{ a.e. } t \in (0, T), \\ y(0) &= y_0. \end{aligned} \tag{*}$$

Proof sketch

Let (y^*, w^*) be a solution to (P_0) .

$$J_0(y^*, w^*) \leq J_0(y, w) \text{ for any } (y, w) \in U_0.$$

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Let $\lambda > 0$

$$y^\lambda = y^* + \lambda Y, \quad w^\lambda = w^* + \lambda W$$

$$\begin{aligned} \frac{dY}{dt}(t) + A_{0,m}W(t) &= 0 \text{ a.e. } t \in (0, T), \\ Y(0) &= 0. \end{aligned}$$

Proof sketch

$$-A_{m'} \int_t^T (\eta^* - w^*)(s) ds + (\beta^*)^{-1}(t, x, w^*) - y^* = 0.$$

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$$-A_{m'} \int_t^T (\eta^* - w^*)(s) ds + (\beta^*)^{-1}(t, x, w^*) - y^* = 0.$$

We denote

$$p(t, x) = (\beta^*)^{-1}(t, x, w^*) - y^*$$

$$\begin{aligned} -p_t + A_{m'}(w^* - \eta^*) &= 0, \\ \implies p(t) &= 0 \text{ for any } t \in [0, T] \\ p(T) &= 0. \end{aligned}$$

Uniqueness is proved using (*ODP*).

2.2

General continuous case

$$\frac{j(t, x, r)}{|r|} \rightarrow \infty, \text{ as } |r| \rightarrow \infty, \quad \frac{j^*(t, x, \omega)}{|\omega|} \rightarrow \infty, \text{ as } |\omega| \rightarrow \infty$$

uniformly w.r. $(t, x) \in Q$

Let

$$f \in L^\infty(Q), \quad y_0 \in V'$$

$$\tilde{A} : L^1(\Omega) \subset X' \rightarrow X', \quad X' = (D(A_{0,\infty}))'$$

$$\left\langle \tilde{A}y, \phi \right\rangle_{X',X} = \langle y, A_{0,\infty}\phi \rangle_{L^1(\Omega), L^\infty(\Omega)}, \text{ for any } \phi \in X = D(A_{0,\infty}).$$

$$J_1(y, w) = \begin{cases} \int_Q (j(t, x, y) + j^*(t, x, w)) dx dt + \frac{1}{2} \|y(T)\|_{V'}^2 - \frac{1}{2} \|y_0\|_{V'}^2 \\ - \int_Q y A_{0,m'}^{-1} f dx dt & \text{if } (y, w) \in U_1, \\ +\infty, & \text{otherwise,} \end{cases}$$

$$U_1 = \left\{ (y, w); y \in L^1(Q), w \in L^1(Q) \right. \\ \left. \int_Q j(t, x, y) dx dt < \infty, \int_Q j^*(t, x, w) dx dt < \infty, y(T) \in V', (y, w) \text{ verifies } (*) \right\}$$

$$\begin{aligned} \frac{dy}{dt}(t) + \tilde{A}w(t) &= f(t) \text{ a.e. } t \in (0, T), \\ y(0) &= y_0. \end{aligned} \tag{*}$$

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$$\begin{aligned} \frac{dy}{dt}(t) + \tilde{A}w(t) &= f(t) \text{ a.e. } t \in (0, T), \\ y(0) &= y_0. \end{aligned} \tag{*}$$

The function J_1 is the closure of the function J_0 in U_0 .

Variational formulation

Minimize $J_1(y, w)$ for all $(y, w) \in U_1$. (P_1)



A solution to (P_1) is called a *generalized solution* to (ODP)

Theorem

Problem (P_1) has at least a solution (y^, w^*) .
If j is strictly convex the solution is unique.*

Theorem

Let (y, w) be the minimizer such that $J_1(y, w) = 0$. If $y \in [y_m, y_M]$ then

$$w(t, x) \in \beta^*(t, x, y(t, x)) \text{ a.e. on } Q$$

and (y, w) is the weak solution to (ODP).

2.3 **Discontinuous case**

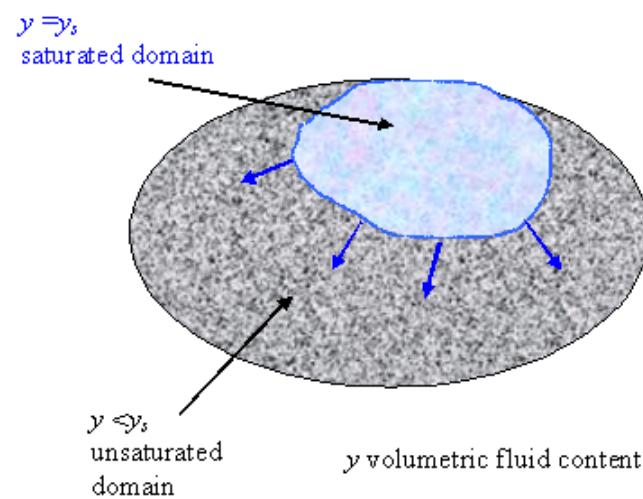
j not continuous

$$\beta : Q \times (-\infty, y_s) \rightarrow (0, +\infty)$$

Connection with physical processes

j not continuous \Rightarrow saturated-unsaturated flow (infiltration in porous media)

$$\beta^*(t, x, r) \begin{cases} = \int_0^r \beta(t, x, s) ds, & r < y_s, \text{ a.e. on } Q, \\ \in [K_s^*(t, x), +\infty), & r = y_s, \text{ a.e. on } Q, \end{cases}$$

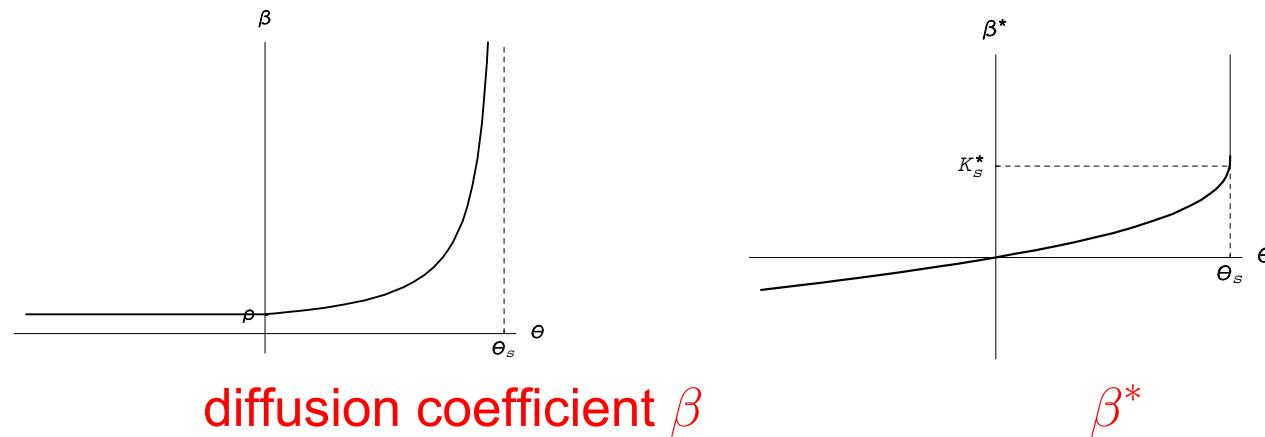


Connection with physical processes

$$j(t, x, r) = \begin{cases} \int_0^r \overset{\circ}{\beta}^*(t, x, s) ds, & r < y_s, \text{ a.e. on } Q, \\ +\infty, & r = y_s, \text{ a.e. on } Q \end{cases}$$

Connection with physical processes

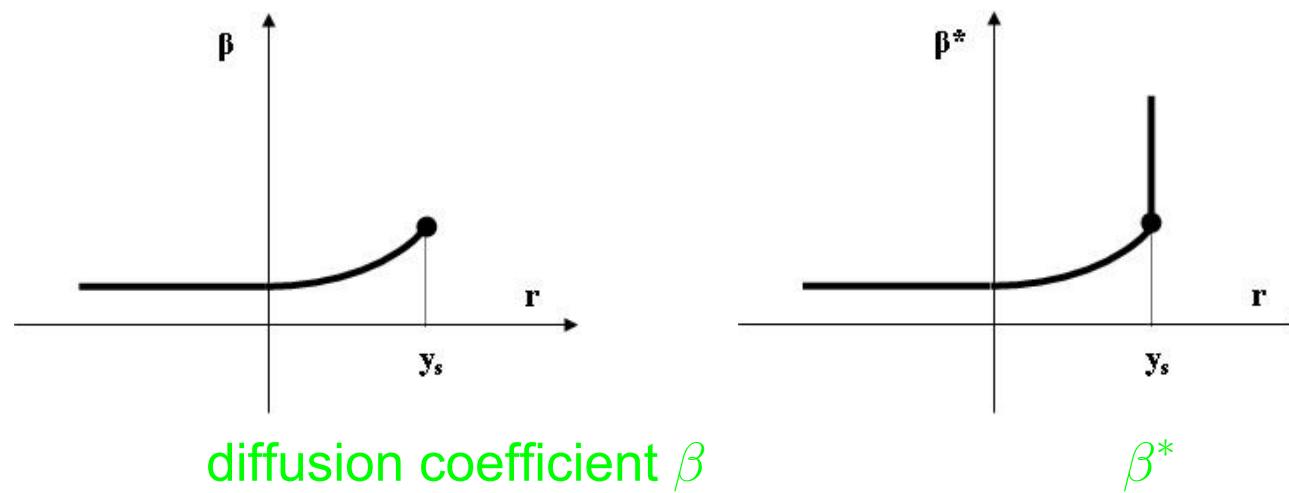
(a) fast diffusion



Connection with physical processes

(b) slow diffusion

$$\beta(t, x, y_s) = \beta_s(t, x) \text{ a.e. } (t, x) \in Q$$



Preliminaries

$$w \in (L^\infty(Q))', \quad w = w_a + w_s$$

$L^1(Q) \ni w_a = \text{continuous component}, w_s = \text{singular component}$

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$$\varphi : L^\infty(Q) \rightarrow (-\infty, \infty], \quad \varphi(y) = \int_Q j(t, x, y(t, x)) dx dt.$$

$$\varphi^* : (L^\infty(Q))' \rightarrow (-\infty, \infty]$$

$$\varphi^*(w) = \int_Q j^*(t, x, w_a(t, x)) dx dt + \delta_C^*(w_s),$$

$$\delta_C^*(w) = \sup\{w(\psi); \psi \in C\}.$$

$$C = \{y \in L^\infty(Q); \varphi(y) < +\infty\}$$

Rockafeller, Pacific J. Math. J., 1971

$$J_\infty : L^m(Q) \times (L^\infty(Q))' \rightarrow (-\infty, \infty],$$

$$J_\infty(y, w) = \begin{cases} \int_Q j(t, x, y) dx dt + \frac{1}{2} \|y(T)\|_{V'}^2 - \frac{1}{2} \|y_0\|_{V'}^2 - \int_0^T \langle f(t), A_{0,m} y(t) \rangle_{X'_{m'}, X_m} dt \\ \quad + \int_Q j^*(t, x, w_a) dx dt + \delta_C^*(w_s) & \text{if } (y, w) \in U_\infty, \\ +\infty, & \text{otherwise,} \end{cases}$$

$$U_\infty = \left\{ \begin{array}{l} (y, w); y \in L^m(Q), y(T) \in V', w \in (L^\infty(Q))', \int_Q j(t, x, y) dx dt < \infty, \\ \varphi^*(w) = \int_Q j^*(t, x, w_a) dx dt + \delta_C^*(w_s) < \infty, (y, w) \text{ verifies } (*) \end{array} \right\}$$

$$\begin{aligned} - \int_Q y \frac{d\psi}{dt} dx dt + \langle y_0, \psi(0) \rangle_{V', V} + \langle w, A_{0,\infty} \psi \rangle_{(L^\infty(Q))', L^\infty(Q)} &= \int_0^T \langle f(t), \psi(t) \rangle_{X'_{m'}, X_m} dt (*) \\ y(0) &= y_0, \end{aligned}$$

for any $\psi \in W^{1,2}([0, T]; L^{m'}(\Omega)) \cap L^\infty(0, T; X)$, $\psi(T) = 0$.

Variational formulation

Minimize (J_∞) for all $(y, w) \in U_\infty$ (P_∞)



A solution to (P_∞) is called a *generalized solution* to (ODP)

Theorem

Problem (P_∞) has a unique solution (y^, w^*) .*

Theorem

Let (y, w) be the minimizer such that $J_\infty(y, w) = 0$. If $y \in L^\infty(Q)$ then (y, w) is the weak solution to (ODP),

$$w_a(t, x) \in \beta^*(t, x, y(t, x)) \text{ a.e. } (t, x) \in Q$$

$$w_s \in N_C(y).$$

Interpretation The solution (y, w) satisfies the equations

If $y \in \text{int } C = \{y \in L^\infty(Q); \text{ess sup } y(t, x) < y_s \text{ a.e. on } Q\} \implies N_C(y) = \{0\} \implies w_s = 0$

$$\frac{dy}{dt} - \Delta \beta^*(t, x, y) \ni f, \text{ a.e. on } Q$$

with initial condition and b.c.

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$$\frac{dy}{dt} - \Delta \beta^*(t, x, y) \ni f, \text{ a.e. on } Q$$

with initial condition and b.c.

If $y \in \partial C = \{y \in L^\infty(Q); \text{ess sup } y(t, x) = y_s \text{ a.e.}\}$ then there exist

$$Q_s = \{(t, x); y(t, x) = y_s\} \text{ and } Q_u = \{(t, x); y(t, x) < y_s\}$$

$$\left(\frac{dy}{dt} \right)_a - \Delta \beta^*(t, x, y) \ni f, \text{ a.e. on } Q_u$$

$$\left(\frac{dy}{dt} \right)_s - \Delta w_s \ni 0, \text{ a.e. on } Q_s.$$

3

Comments and applications

Advantages

- prove of the well-posedness of (*ODP*) when other methods fail

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$$\begin{aligned} \frac{dy}{dt}(t) + A(t)y(t) &\ni f(t) \text{ a.e. } t \in (0, T), \\ y(0) &= y_0. \end{aligned}$$

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$$\begin{aligned}\frac{dy}{dt}(t) + A(t)y(t) &\ni f(t) \text{ a.e. } t \in (0, T), \\ y(0) &= y_0.\end{aligned}$$

- Theory of evolution equations with m -accretive operators
- Lions theorem
- *M.G. Crandall, A. Pazy, Proc. AMS, 1979* ($f = 0$)

Advantages

- prove of the well-posedness of (*ODP*) when other methods fail
- solving a minimization convex problem involving a linear state equation
- numerical facilities.

Applications

$$\frac{\partial(u(t, x)\theta)}{\partial t} - \Delta\tilde{\beta}^*(\theta) \ni f \text{ in } Q$$

- Pollutant propagation in porous saturated media

u is the absorption-desorption coefficient, θ is the pollutant concentration

Applications

$$\frac{\partial(u(t, x)\theta)}{\partial t} - \Delta\tilde{\beta}^*(\theta) \ni f \text{ in } Q$$

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- Infiltration processes in porous media (water infiltration in soils)

u is the medium porosity, θ is the water saturation in pores

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$$\frac{\partial(u(t, x)\theta)}{\partial t} - \Delta\tilde{\beta}^*(\theta) \ni f \text{ in } Q$$

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u is the absorption-desorption coefficient, θ is the pollutant concentration

- Infiltration processes in porous media (water infiltration in soils)

u is the medium porosity, θ is the water saturation in pores

- Previous results (for u very regular)

u constant G.M. 2005-2006

$u(t)$ G.M. 2007

$u(x)$ degenerate case A. Favini, G.M. 2008, 2009

Applications

$$\frac{\partial(u(t, x)\theta)}{\partial t} - \Delta\tilde{\beta}^*(\theta) \ni f \text{ in } Q$$

$$\Downarrow y(t, x) = u(t, x)\theta(t, x), \quad u > 0$$

$$\frac{\partial y}{\partial t} - \Delta\beta^*(t, x, y) \ni f \text{ in } Q.$$

Future interests

- Case with transport
- Control problems
- Numerical methods

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Thank you for your attention